



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

DUKE et al.

Serial No.: 09/991,363

Filed: November 15, 2001

Atty. File No.: 3923-3

For: "YEAST-DENDRITIC CELL  
VACCINES AND USES THEREOF"

Group Art Unit:

Examiner:

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INFORMATION DISCLOSURE  
STATEMENT

CERTIFICATE OF MAILING

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ADDRESSED TO THE ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON, DC 20231 ON 6/27/02  
SHERIDAN ROSS P.C.

BY: *[Signature]*

Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to Applicants' duty of disclosure under 37 CFR § 1.56 and 37 CFR §§ 1.97-1.98, Applicants hereby provide a copy of each of the documents identified on the enclosed PTO Form 1449, although Applicants do not admit that any of such documents, alone or in any combination, are considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicants that each such document is prior art as to the above-identified patent application.

Respectfully submitted,

SHERIDAN ROSS P.C.

By: *[Signature]*

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Date: *June 27, 2002*